

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	11471734
<b>Application Number:</b>	10801238
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	2021
<b>Title of Invention:</b>	PUBLIC OFFERING RISK MANAGEMENT
<b>First Named Inventor/Applicant Name:</b>	David Lawrence
<b>Customer Number:</b>	54205
<b>Filer:</b>	John A. Squires/Nathan Poulsen
<b>Filer Authorized By:</b>	John A. Squires
<b>Attorney Docket Number:</b>	17209-354
<b>Receipt Date:</b>	23-NOV-2011
<b>Filing Date:</b>	15-MAR-2004
<b>Time Stamp:</b>	13:08:56
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$2040
RAM confirmation Number	616
Deposit Account	
Authorized User	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Amendment after Notice of Allowance (Rule 312)	17209_354_AmendmentAfterAllowance.pdf	125475 9a882c37f8265a3c8b96940777ad8be8f5c5b14f	no	4
<b>Warnings:</b>					
<b>Information:</b>					
2	Issue Fee Payment (PTO-85B)	ptol_85.pdf	126593 0a4e653fec08638056c563ca28baa9c3c1a1e1c3	no	1
<b>Warnings:</b>					
<b>Information:</b>					
3	Transmittal Letter	17209_354_IssueFeeTransmittal.pdf	100141 44675d7a6b6f9c4448a5c4292a373238f13d1f	no	2
<b>Warnings:</b>					
<b>Information:</b>					
4	Fee Worksheet (SB06)	fee-info.pdf	32163 980c571d5d5d8b93bb56118ae778b5f6c13a9f08e9	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			384372		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>          If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>          If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b>          If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					